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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-----------------|
| Application Number | 09/820,428 |
| Filing Date | March 28, 2001 |
| First Named Inventor | Leon, J.P. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 006969-021220US |

Total Number of Pages in This Submission

1

ENCLOSURES (check all that apply)

| | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO/SB/08B (w/8 references and PCT Search Report) 2. Return Postcard |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|--------------------------|--|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP David N. Slone | Reg. No. 28,572 |
| Signature | <i>David Slone</i> | |
| Date | November 19, 2001 | |

CERTIFICATE OF MAILING

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PA 3184775 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J.P. Leon

Application No.: 09/820,428

Filed: March 28, 2001

For: SYSTEM AND METHOD FOR
MANAGING MULTIPLE POSTAL
FUNCTIONS IN A SINGLE ACCOUNT

Examiner:

Art Unit:

INFORMATION DISCLOSURE
STATEMENT UNDER
37 CFR §1.97 and §1.98

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to PCT application, PCT/US00/31319. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

David Slone

David N. Slone
Reg. No. 28,572

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PA 3184756 v1

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

of

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| | |
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| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 006969-021220US |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | 1 | 5,319,562 | ✓ | Whitehouse | 06/07/94 | 1, 10, 11, 20 |
| | 2 | 5,822,735 | ✓ | De Lapa et al. | 10/13/98 | |
| | 3 | 5,832,464 | ✓ | Houvener et al. | 11/03/98 | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|---------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | 4 | PCT | WO 00/73963 | ✓ A2 | Stamps.Com | 12/07/00 | 1,2,4-6,8-12,14-16,18-20 | |
| | 5 | PCT | WO 99/39278 | ✓ A1 | Ascom Hasler | 08/05/99 | 1,10,11 | |
| | 6 | EP | 0 927 945 | ✓ A2 | Amazon.Com, Inc. | 07/07/99 | 1,10,11,20 | |
| | 7 | EP | 0 855 687 | ✓ A2 | AT&T Corp. | 07/29/98 | | |

Examiner Signature

Date Considered

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² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of

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| | 3 | 5,832,464 | | Houvener et al. | 11/03/98 | |

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|------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|--|--|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | 4 | PCT | WO 00/73963 | A2 | Stamps.Com | 12/07/00 | 1,2,4-6,8-12,14-16,18-20 | |
| | 5 | PCT | WO 99/39278 | A1 | Ascom Hasler | 08/05/99 | 1,10,11 | |
| | 6 | EP | 0 927 945 | A2 | Amazon.Com, Inc. | 07/07/99 | 1,10,11,20 | |
| | 7 | EP | 0 855 687 | A2 | AT&T Corp. | 07/29/98 | | |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|------------------------|--------------------------|---|----------------|
| | 8 | United States Postal Service: "Performance Criteria for Information Based Indicia and Security Architecture for Open IBI Postage Evidencing System," Information Based Indicia Program, 25 June 1999 (1999-06-25), XP002161216 | |
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